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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sadahiro Nakanishi, et al.
App. No. : 10/041, 829
Filed : January 7, 2002
For : OPTICAL DIFFUSING PLATE, OPTICAL
ELEMENT AND LIQUID CRYSTAL
DISPLAY
Examiner : David Y. Chung
Group Art Unit : 2871

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

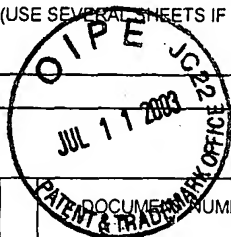
Dated: July 9, 2003

By:

Katsuhiro Arai
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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. UNIU48.001AUS | APPLICATION NO. 10//041,829 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY) | | APPLICANT Sadahiro Nakanishi, et al. | |
| | | FILING DATE January 7, 2002 | GROUP 2871 |



| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------|------|------|-------|----------|---------------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|----|-----------------|----------|--|-------|----------|-------------|----|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| | 1. | WO 87/0182 | 03/26/87 | World Intellectual Property Organization | | | | |
| | 2. | 11-174211 | 07/02/99 | Japan | | | abstract | |
| | 3. | EP 0 952 477 A1 | 10/27/99 | Europe | | | | |
| | 4. | 2000-187104 | 07/04/00 | Japan | | | abstract | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
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| EXAMINER | DATE CONSIDERED |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | |

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PATENT

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Case Docket No. UNI48.001AUS

Date: July 9, 2003

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group Art Unit : 2871

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 9, 2003

(Date)

Katsuhiro Arai, Reg. No. 43,315

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with four (4) references.
- (X) A copy of the communication from the European Patent Office.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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